

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**

(19)



Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11) Publication number:

**0 405 826 A2**

(12)

**EUROPEAN PATENT APPLICATION**

(21) Application number: 90306734.6

(51) Int. Cl.<sup>5</sup>: G06F 3/033, G06F 15/40

(22) Date of filing: 20.06.90

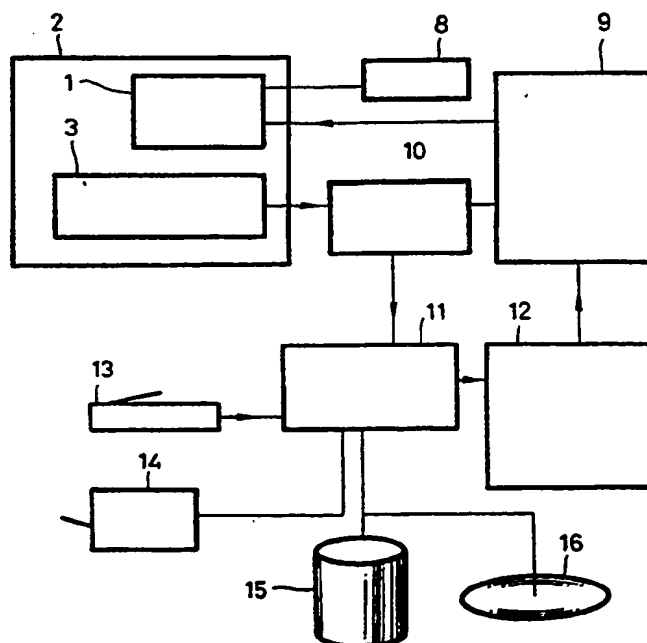
(30) Priority: 30.06.89 US 373402

(43) Date of publication of application:  
02.01.91 Bulletin 91/01(84) Designated Contracting States:  
DE GB(71) Applicant: SONY CORPORATION  
7-35 Kitashinagawa 6-Chome Shinagawa-ku  
Tokyo 141(JP)(72) Inventor: Kojima, Kiyonobu c/o Patents  
Division  
Sony Corporation 6-7-35 Kitashinagawa  
Shinagawa-ku Tokyo 141(JP)(74) Representative: Thomas, Christopher Hugo et  
al  
D Young & Co 10 Staple Inn Inn  
London WC1V 7RD(GB)

(54) Electronic filing systems and methods.

(57) An electronic filing system stores the contents of documents in a digital form and retrieves them as if they were arranged in an office, using a combination of a small display (1) and a position and orientation sensor (3). A simulated environment provides a natu-

ral interface for searching through complex information spaces. The display (1) and sensor (3) behave as a magnifying glass in either three-dimensional or two-dimensional space.

**FIG. 2****EP 0 405 826 A2**

## ELECTRONIC FILING SYSTEMS AND METHODS

This invention relates to electronic filing systems and methods to facilitate the filing and retrieving of documents.

Electronic filing systems which store documents in digital form in optical or hard disc storage have been proposed to improve the office environment. Such systems generally comprise a scanner to read documents, processing units to process information from the documents in digital form, optical or hard discs to store the data, and a printer or other visual display. Well-structured documents can be organized in these systems through methods such as categorization, addressing, and key work techniques. However, most documents in use in offices are still arranged on an individual's desk. It would be beneficial to store and retrieve such documents by reference to a physical location.

Interfacing in electronic filing systems is usually done through a keyboard and display. In some cases the standard video display terminal is divided into partitions each representing a document. A pointer can be positioned in a particular position on the screen by use of a mouse or a joystick, and a document selected in that manner. The prior art systems suffer from one or more problems. Firstly, a standard video display terminal is a relatively large piece of equipment, designed to remain in a fixed position during use. Due to the nature of video display terminals, resolution is limited, and the terminal display area, also being limited, restricts the number of documents which can be represented on the screen for selection and retrieval. Scrolling by activating a key on a keyboard is not desirable because it generally takes a long time to get to the desired position.

Even in mouse systems, it is necessary to correlate the mouse movement to the movement on the screen, which can be difficult and unnatural when attempting to scroll through documents. US patent specification US-A-4 757 302 attempts to rectify this problem by the use of tactile feedback from the mouse to control the speed of paging and to provide a "feel" as each new page is displayed. However, a mouse cannot satisfactorily operate on a cluttered desk, and the use of a standard video display terminal has drawbacks discussed above.

Another interface is known which can be activated by the movement of the user. It has been described in an article by James D. Foley published in Scientific American, Oct. 1987, pages 127 to 135. It was developed by NASA AMES Research Center and utilizes an apparatus which one wears like a pair of eyeglasses.

According to the present invention there is provided an electronic filing system for storing and

retrieving documents converted into an electrical form, the system comprising:

a combined display and position and orientation sensor;

5 an absolute position calculator connected to receive output signals from said sensor;

a source of digitized video information and;

a central processing unit for receiving the outputs from said source and from said absolute position calculator, said central processing unit selectively providing a first output for supply to storage means and a second output for supply to a video memory unit, said video memory unit having an output connected to a scroll and zooming unit, which also receives an output from said sensor, and produces an output which is supplied to said display.

According to the present invention there is also provided a method of storing and retrieving digital information data, said method comprising the steps of:

20 producing an output data signal which is a function of data from a documentation to be stored; determining the position and orientation of a display unit and providing a position signal which is indicative of said position and said orientation; 25 processing said output data signal and said position signal for recording; writing data into a video memory unit showing there is a document at a determined position in a space; and 30

scrolling and zooming data related to said position signal to provide a desired portion of the total data to be utilized within said video memory unit.

An embodiment of the invention contains a display device and provides a simulated environment or virtual world. The display unit does not require the eyeglasses apparatus, but utilizes a display and sensor apparatus to simulate a magnifying glass. The simulation may be of a desk or wall, for the storage and retrieval of documents, which makes possible the natural interaction of the user rather than the usual interface of a keyboard and video display terminal. It does not require a user to wear a somewhat cumbersome and unnatural apparatus. 35

Prior art systems can generally only store and retrieve documents effectively when the documents adhere to a well-structured format.

Embodiments of the present invention can overcome these problems. The display unit can be substantially flat and much smaller than a video terminal, and as such it can be hand-held and readily moved like a magnifying glass. The inherent limitation of screen size in prior art systems can be eliminated. The display becomes, for exam- 50

ple, the entire desk top of documents, parts of which can be selectively viewed through the magnifying glass. Finding and retrieving information in stored documents is made easier because the documents are stored according to a position in space. The positioning of the display unit is a natural and comfortable interface for a user, not only for the location of the documents, but also for the scrolling of the documents.

Embodiments of the invention are capable of operation in a three-dimensional, as well as a two-dimensional, simulated environment.

According to present invention there is also provided an electronic apparatus for storage and retrieval of information from documents in a digital form, comprising a combined display and position and orientation sensor providing associated output signals, an absolute position calculator connected to receive said output signals from said position and orientation sensor, a signal source of digitized video information, and a central processing unit for receiving the outputs from said position and orientation sensor, said central processing unit providing a first output available for connection to storage means and a second output for connection to a video memory unit, said video memory unit having an output connected to a smooth scroll and zooming unit, said scroll and zooming unit receiving an output from said position and orientation sensors and producing an output which is connected to said flat display.

According to the present invention there is also provided a method of storing and retrieving digital information data, comprising the steps of providing documentation which is to be filed and retrieved, producing an output data signal which is a function of the data from the documentation to be stored, determining the position and orientation of a display unit and providing a position signal which is indicative of said position and said orientation, processing said output data signal and position signal to effect recording, processing to write data into a video memory unit showing there is a document at the determined position in the space, and scrolling and zooming data related to the signal from absolute position to provide a desired portion of the total data to be utilized within said video memory unit.

The small flat display can act like a magnifying glass to look at any very small document located in a simulated space such as a desk top or wall. Not many large documents can be placed on the restricted size of an office desk. Accordingly, in order to store many documents, they are reduced in size. Conceptually, as in microfiche techniques, if one cuts microfiche into pieces so that each page is a small individual piece and puts them all on the desk or posts them on the wall, one can use a

magnifier to browse through them and to read them. It is this concept which is used in embodiments of the invention in electronic form.

Embodiments of the invention can provide a natural user-friendly interface. They can be used not only with well-structured documents, but also with unstructured documents to provide paperless offices. The ability to focus in as one would with a magnifying glass allows one to use a natural and easy to use interface.

The invention will now be described by way of example with reference to the accompanying drawings, throughout which like parts are referred to by like references, and in which:

Figure 1 is a perspective view of a display unit; and

Figure 2 is a block diagram of the components of a system forming an embodiment of the invention.

A display unit 2 shown in Figure 1 comprises a flat display 1 and a position and orientation sensor 3. A handle 4 is designed to be held by the user. A cable 5 to the display 1 and a cable 6 from the position and orientation sensor 3 are combined in a display unit cable 7. The display 1 can be a liquid crystal, plasma or similar display.

The position and orientation sensor 3 is realized by utilizing a coil in a magnetic field (for example as described in Scientific American, Oct. 1987, page 130), or ring laser gyros using known inertial navigation systems technology. A display driver provides power and control to the display 1.

Referring also to Figure 2, a video signal for the display 1 to enable visual reproduction of a document or part of a document is provided from the smooth scroll and zooming unit 9, which references the location in a video memory unit 12 corresponding to the document or part of a document to be displayed.

An absolute position calculator 10 calculates the position of the display unit 2 using the data from the position and orientation sensor 3. If the position and orientation sensor 3 is a gyro type sensor, like a ring laser gyro, and provides only the acceleration, the absolute position calculator 10 calculates integrals of the data to derive the absolute position in space. An input device, for example, an image scanner 13 scans the documents like a photocopying machine and transforms the information in the documents into digital signals and sends the data to a central processing unit (CPU) 11. The CPU 11 stores the data in a hard disc 15 or an optical disc 16 to show the document on the display 1, the CPU 11 sends the data to the video memory unit 12. To print the document, the CPU 11 sends the data to a printer 14.

To register a document, the following process is utilized. The user scans the document through

the image scanner 13, types it in, or obtains it from other sources such as another storage device or system. A title and/or keyword(s) can be added to it, and the digitized document is then stored on hard disc or an optical disc. Once the data are taken, the user must specify the position for it in the simulated environment or virtual world. The display unit 2 is moved to the desired position and the position is registered to hold that document. The absolute position calculator 10 sends the absolute position to the CPU 11 and stores the position data in association with the data of the registered document.

To look at the document, the user moves the display unit 2 like one would a magnifying glass. If the position of the display unit 2 matches a position where a document was registered, the centre of the display 1 will show the document. The title of the document, the shape of the document, a rough sketch of the document or the full contents of the document can be displayed. In a preferred embodiment, the title of the document is shown first. In retrieval mode, the CPU 11 puts the titles of documents in the video memory unit 12 at the corresponding position. The scroll and zooming unit 9 shows one portion of the area getting data from the video memory unit 12.

To retrieve a document, it is necessary to move the display unit 2 to the position where the document had been registered in the simulated environment. As the display unit 2 is being moved into position, the titles of the documents are scrolled on the display 1. When the display unit 2 is within a predetermined distance of the desired document position, the full content of the document is placed in the video memory unit 12 by the CPU 11. The contents of the document can be scrolled in response to the movement of the display unit 2 as one would do with a magnifier. In a preferred embodiment, as one approaches the desired document, the title of the document will appear. Advancing the display unit 2 to the title causes the document to be opened to show the contents which can be scrolled as above. The display unit 2 operates as an electronic magnifier in this way.

In the second embodiment, a position sensor can be provided for the user to wear on his head. The sensor on the display unit 2 detects its absolute position and orientation. The other sensor, which is set on the user's head, is used to calculate the distance and the view angle between the user's head and the display unit 2. Using these data, the display unit 2 can show an aesthetic view as if it is a view with a magnifying glass in a simulated environment.

Since a magnifying glass has a fixed focal distance, it has an area which is out of focus. In an embodiment of the invention, this focusing is con-

trolled according to the following scenario.

The display unit 2 can be set in front of the eyes to see the whole scene. The user will see virtual documents on the desk or hung in strings in the room or on a wall. The display unit acts like a glass plate in this case. As the user walks towards the target object with the display unit in his hand, it acts like a glass plate showing the target object growing larger. If the display unit 2 is moved away from or towards the user, the position is changed and the object enlarges or diminishes in size. In this manner, the display unit 2 acts like a magnifying glass.

Responses to the movements of the magnifying glass are determined after modelling the particular information space required. An information space is very large and complex, and the filtering function must be incorporated to hide detail. In conceptual information space, scene detail has two meanings. One is the usual meaning; to see a small thing larger. The other is to see the detail of an object. Zooming in on an icon, for example, shows its contents, not an enlarged icon. In the context of this embodiment, focus is used in its metaphorical sense to express the second type of detail.

In this embodiment there are two ways to reach an object in detail. One is to walk toward the object. The other is to adjust the display unit 2. The information level given by the latter approach is equivalent to that of the physical approach.

It is known to use a "Fisheye L" approach in attempting to navigate large information spaces. This was described by G.W. Furnas in a publication entitled "Generalized Fisheye Views" published in CHI '86 Conference Proceedings, Boston, MA, pages 16 to 23. This approach is limited only to the flat screen environment. Embodiments of the invention give the user a sense of moving in a real world, because his motion generates magnifications of the screen environment. Users will always see both a simulated environment in the display unit 2 and the real physical world outside the display unit 2. They can also move easily between the detailed and overall view of their space in order to navigate to new areas. This allows them to use the context of the entire information space to locate themselves.

Although the concept is especially suitable for three-dimensional space, it can also be used in two-dimensional space as well. This would require the integration of the display unit and a mouse into one unit. This would be particularly useful in dealing with a very large spread sheet.

## Claims

1. An electronic filing system for storing and retrieving documents converted into an electrical form, the system comprising:

a combined display (1) and position and orientation sensor (3);

5

an absolute position calculator (10) connected to receive output signals from said sensor (3);

a source (13) of digitized video information; and

a central processing unit (11) for receiving the output from said source (13) and from said ab-

10

solute position calculator (10), said central processing unit (11) selectively providing a first output for

supply to storage means (15,16) and a second output for supply to a video memory unit (12), said

15

video memory unit (12) having an output connected to a scroll and zooming unit (9), which also re-

ceives an output from said sensor (3), and produces an output which is supplied to said display

(1).

2. A method of storing and retrieving digital information data, said method comprising the steps of:

20

producing an output data signal which is a function of data from a documentation to be stored;

determining the position and orientation of a display unit (2) and providing a position signal which

25

is indicative of said position and said orientation;

processing said output data signal and said position signal for recording;

writing data into a video memory unit (12) showing there is a document at a determined position in a

30

space; and scrolling and zooming data related to said position signal to provide a desired portion of

the total data to be utilized within said video memory unit (12).

35

40

45

50

55

5

FIG. 2

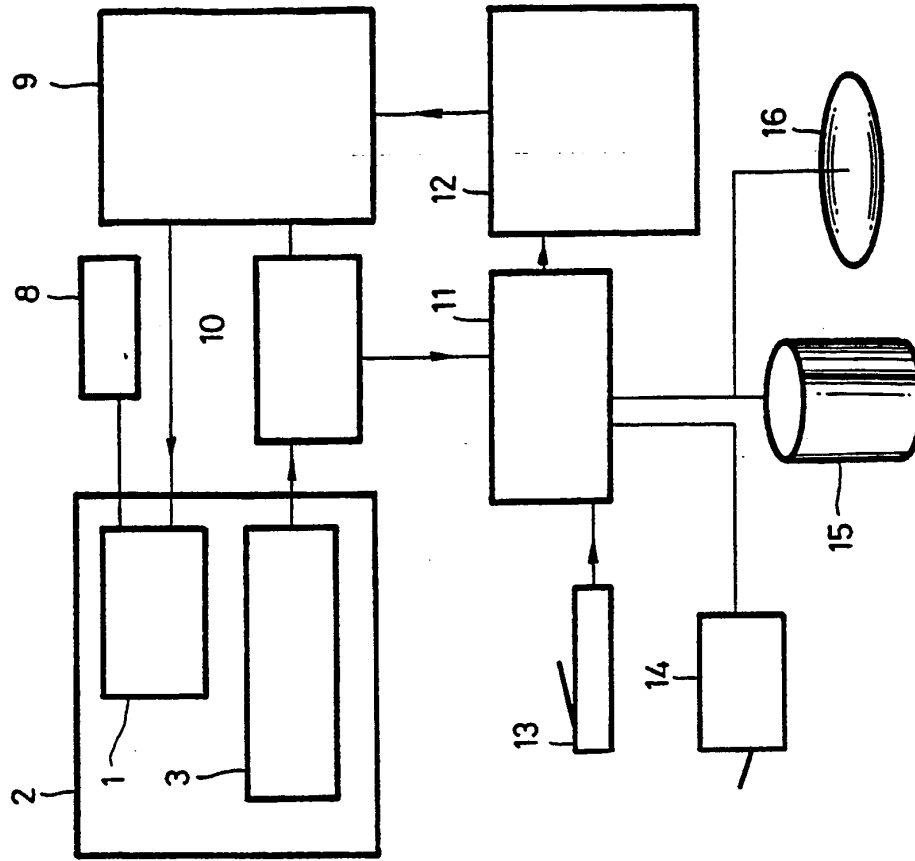
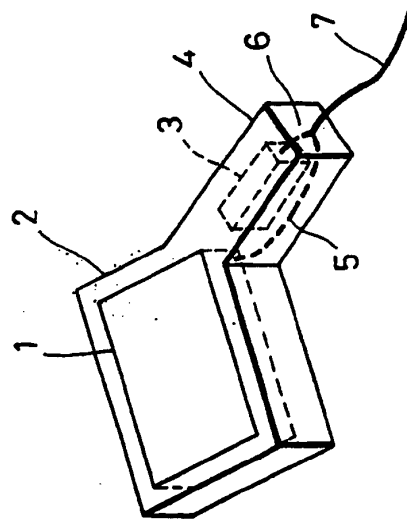


FIG. 1





(19)



Europäisches Patentamt  
European Patent Office  
Office européen des brevets



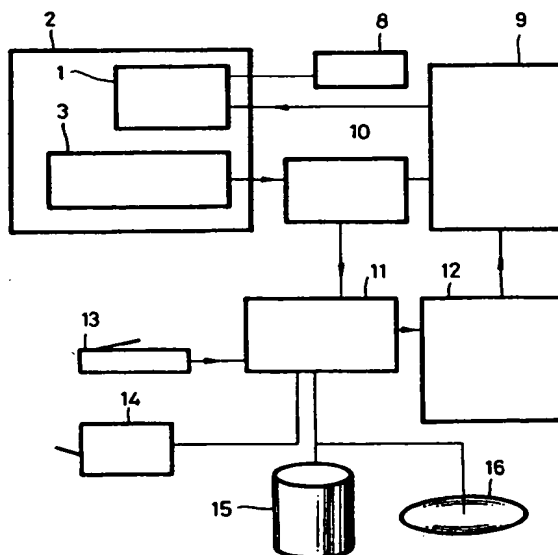
(11) Publication number:

**0 405 826 A3**

(12)

**EUROPEAN PATENT APPLICATION**(21) Application number: **90306734.6**(51) Int. Cl.<sup>5</sup>: **G06F 3/033, G06F 15/40,  
G06K 11/18**(22) Date of filing: **20.06.90**(30) Priority: **30.06.89 US 373402**(43) Date of publication of application:  
**02.01.91 Bulletin 91/01**(94) Designated Contracting States:  
**DE GB**(88) Date of deferred publication of the search report:  
**28.10.92 Bulletin 92/44**(71) Applicant: **SONY CORPORATION**  
**7-35 Kitashinagawa 6-chome Shinagawa-ku**  
**Tokyo 141(JP)**(72) Inventor: **Kojima, Kiyonobu c/o Patents**  
**Division**  
**Sony Corporation 6-7-35 Kitashinagawa**  
**Shinagawa-ku Tokyo 141(JP)**(74) Representative: **Thomas, Christopher Hugo et**  
**al**  
**D Young & Co 10 Staple Inn**  
**London WC1V 7RD(GB)**(54) **Electronic filing systems and methods.**

(57) An electronic filing system stores the contents of documents in a digital form and retrieves them as if they were arranged in an office, using a combination of a small display (1) and a position and orientation sensor (3). A simulated environment provides a natural interface for searching through complex information spaces. The display (1) and sensor (3) behave as a magnifying glass in either three-dimensional or two-dimensional space.

**FIG. 2****EP 0 405 826 A3**



European Patent  
Office

## EUROPEAN SEARCH REPORT

Application Number

EP 90 30 6734

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl.5)
A	IBM TECHNICAL DISCLOSURE BULLETIN, vol. 27, no. 1A, June 1984, NEW YORK US page 42; C.H. LEWIS: 'Position-controlled display' * the whole document *	1	G06F3/033 G06F15/40 G06K11/18
A	US-A-4 839 838 (MITCHELL LABICHE) * column 2, line 32 - column 3, line 15; figure 1 *	1	
			TECHNICAL FIELDS SEARCHED (Int. Cl.5)
			G06K G06F G09G
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 20 AUGUST 1992	Examiner ALONSO Y GOICOLEA L.
CATEGORY F CITED D CUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document	

EPF FORM 1503 01.82 (P0001)